



DOCKET NO.: 196838US0PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :

Frank I. CARROLL et al.

: GROUP ART UNIT: 1625

SERIAL NO: 09/623,872

: EXAMINER: AULAKH, C.

FILED: NOVEMBER 27, 2000 :

FOR: NOVEL OPIATE COMPOUNDS, METHODS
OF MAKING AND METHODS OF USE

AMENDMENT AND REQUEST FOR RECONSIDERATION

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Responsive to the Official Action dated August 15, 2001, Applicants respectfully request reconsideration of the above-identified application in view of the following amendments and remarks.

IN THE SPECIFICATION

Page 1, at line 5, please insert the following paragraph:

a¹
--This application is a National Stage of International Application PCT/US99/05131, filed on March 09, 1999, which claims benefit to U.S. Provisional Application Serial No. 60/077,402, filed on March 10, 1998 and U.S. Provisional Application Serial No. 60/107,902, filed on November 10, 1998.--

After page 146, please insert the Abstract attached hereto.

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ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an Amendment and Request for Reconsideration w/attached Abstract and Marked-Up Copy in the above-identified application.

- ☐ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: Information Disclosure Statement w/PTO Form 1449
(1) Cited Reference

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS	
TOTAL	22	MINUS	26	0	× \$18 =	\$0.00	
INDEPENDENT	1	MINUS	4	0	× \$84 =	\$0.00	
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00	
		TOTAL OF ABOVE CALCULATIONS					\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity					\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00	
		TOTAL					\$0.00

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

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